

CAPITAL INVESTMENT MANAGEMENT

Registered Investment Advisor

Investor Profile Questionnaire

Personal Information

Name _____

Street Address _____

City _____ State/Zip Code _____

Phone/Fax _____

Email _____

Current Account Balance _____

Expected Annual Contribution _____

Date of Completion _____

Signature _____

Investor Profile Questionnaire

Answer the questions below and total your score at the bottom.

	STRONGLY AGREE	AGREE	NEUTRAL	DISAGREE	STRONGLY DISAGREE	SCORE
1. My current age is:	<45 5	45-55 4	56-65 3	66-75 2	> 75 1	
2. In how many years do you expect to start spending the money you've invested?	1 year 1	2-5 years 2	6-10 years 3	11-20 years 4	21+ years 5	
3. I do not foresee any major expenses that might cause me to make withdrawals from this investment before that time.	5	4	3	2	1	
4. When I start making withdrawals from this investment, they will be used to fund my living expenses.	1	2	3	4	5	
5. When it comes to investing, protecting the money I have is the highest priority.	1	2	3	4	5	
6. I always choose investments with the highest possible return.	5	4	3	2	1	
7. I prefer an investment strategy designed to grow steadily and avoid sharp ups and downs.	1	2	3	4	5	
8. To meet my financial goals, my investments must grow at a high rate of return.	5	4	3	2	1	
9. I am unwilling to wait several years to recover from losses I incur in an extended down market.	1	2	3	4	5	
10. I prefer investments that are low risk, even if returns are lower than the rate of inflation.	1	2	3	4	5	

Corresponding Portfolio :	A	B	C	D	E
Scoring:	10-19	20-26	27-33	34-40	41-50

Use the letter that matches your score to identify the corresponding portfolio that may be best suited for your retirement investments.

SCORE TOTAL

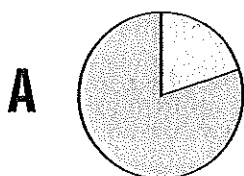
○

Transfer Your Score Here ►

SCORE TOTAL

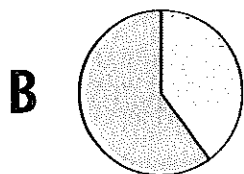
A	B	C	D	E
10-19	20-26	27-33	34-40	41-50
CONSERVATIVE			AGGRESSIVE	

Use the letter that matches your score above to identify the corresponding portfolio description below.
This portfolio provides an idea of the strategy that most closely matches your risk and return.



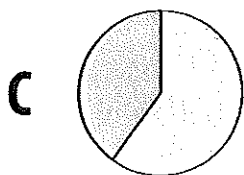
Conservative Strategy seeks to provide high current income and low long-term capital appreciation.

20% Stocks / 80% Bonds



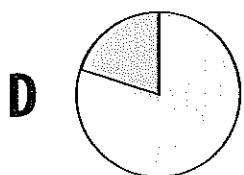
Moderate Strategy seeks to provide high current income and moderate long-term capital appreciation.

40% Stocks / 60% Bonds



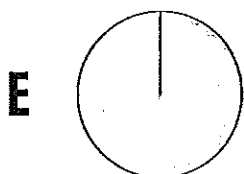
Balanced Strategy seeks to provide above average capital appreciation and a moderate level of current income.

60% Stocks / 40% Bonds



Growth Strategy seeks to provide high long-term capital appreciation with low current income.

80% Stocks / 20% Bonds



Equity Growth Strategy seeks to provide high long-term capital appreciation.

100% Stocks

**CAPITAL INVESTMENT
MANAGEMENT**

Registered Investment Advisor

8055 East Tufts Avenue • Suite 1350 • Denver, Colorado 80237

877-221-1001 • www.cimcodenver.com

BOSTON • DENVER • SAN FRANCISCO